

## **Proposition 64: Cohort III Local Evaluation Plan**

The Proposition 64 Cohort III Grant Program for funding period May 1, 2023 through April 30, 2028 requires a Local Evaluation Plan (LEP) to ensure projects funded by the Board of State and Community Corrections (BSCC) can be evaluated to determine their impact and effectiveness. The LEP is a written document that describes how the project will be monitored and evaluated and shows how evaluation results will be used for project improvement and decision making. The LEP should be developed before the project starts by program staff using a collaborative process that involves all relevant project stakeholders. Grantees are encouraged to identify an evaluator who can assist in the collaborative process of developing the LEP and guide the local evaluation throughout the grant cycle. This guideline, in partnership with the LEP Template, was developed to assist grantees in creating a LEP that, at a minimum, addresses the information defined below.

Keep in mind the implementation of practices and strategies supported by data are to be considered whenever possible. The BSCC is responsible for verifying that grant money is spent efficiently and on effective projects; collecting data is one mechanism used to determine this. Evaluation results from each project may be used to inform the body of knowledge regarding what works with the target populations. Therefore, it is important to collect appropriate and consistent data. A strong LEP will help pave the way for a strong evaluation. A strong evaluation may be used to provide support for your project and funding.

The BSCC will make public the LEP from each grantee. LEPs may be posted to the BSCC website and/or developed into a statewide summary report to be shared with the Administration, the Legislature, and the public.

All grantees are required to submit a LEP by 5 p.m. on **Friday, September 29, 2023**. LEPs are sent to the Proposition 64 inbox ([Prop64\\_Grant3@bscc.ca.gov](mailto:Prop64_Grant3@bscc.ca.gov)).

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### **Cover Page**

The cover page provides a descriptive report title, and identifies the grantee, author(s), contact information, project time period, funding source and date submitted.

### **Project Background**

At a minimum, this section should:

- Provide information essential to understanding the project and the need for the project (scope of the project, history in the community, an explanation of activities and/or services, description of similar efforts in the region, description of how the activities and/or services address the need, etc.).
- For project components that involve participants:
  - Define the target population (e.g., gender, age, risk factors, prior involvement with the juvenile justice system).
  - Define the criteria used to determine participant eligibility of the target

- population.
- Describe the process for determining which intervention(s) and/or services a participant needs and will receive.
- For project components that do not involve participants, describe the process for determining which activities and/or services will be implemented in the service area.
- Provide a description of the goals and specific and measurable objectives identified in the Project Work Plan of the proposal<sup>1</sup>.

### **Process Evaluation Method and Design**

At a minimum, this section should include:

- The research design for the process evaluation.
- For project components that involve participants include:
  - The plan to document activities within the project and/or services provided to each participant (e.g., maintaining a database, signup sheets).
  - How participants' progress will be tracked (e.g., start dates, attendance, dropouts, successful completions, progress milestones).
- For project components that do not involve participants, the plan to document activities (e.g., code enforcement, investigations, system/equipment updates).
- How activity progress will be tracked (e.g., start dates, cases initiated/resolved, inspections, eradications and/or remediations).
- Identifies and thoroughly defines process measures. This includes their input/resource/activity/output, data element(s), data collection sources/methods and frequency. Additional narrative is provided to detail evaluation plans, if an evaluation matrix is used.
- The project-oversight structure and overall decision-making process for the project.
- How the project components will be monitored, determined effective, and adjusted as necessary.
- Procedures ensuring that the project will be implemented to fidelity, when applicable.
- How quantitative and qualitative process data will be analyzed. Describe the statistical tools used to analyze quantitative data (e.g., descriptive statistics, chi-square) and methods used for analyzing qualitative data (identifying themes, content analysis, etc.). You do not need to state the analysis type for each evaluation activity separately.

### **Outcome Evaluation Method and Design**

At a minimum, this section should include:

- The research design for the outcome evaluation (e.g., pre-/post-test, mixed methods, comparison groups).
- A set of evaluation questions. These are the questions that the evaluation will answer. These must include the goals and objectives from the original proposal and may also include more outcome-oriented questions.
- For project components that involve participants:
  - The estimated number of participants expected to receive each type of

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<sup>1</sup> See page 71 of the RFP for the Project Work Plan template.

intervention/service.

- The criteria for determining participant success in the project.
- The estimated number of activities expected to be accomplished.
- The criteria for determining activity completion and/or success in the project.
- Identifies and thoroughly describes all outcome variables and identifies their outcomes, definitions, data collection source(s) and frequency. Additional narrative is provided to detail evaluation plans, if an evaluation matrix is used.
- How quantitative and qualitative outcome data will be analyzed. Describe the statistical tools used to analyze quantitative data (e.g., descriptive statistics, chi-square) and methods used for analyzing qualitative data (identifying themes, content analysis, etc.). You do not need to state the analysis type for each evaluation activity separately.
- The strategy for determining whether outcomes are due to the project and not some other factor(s) unrelated to the project, including a description of a comparison group, when applicable.

### **Project Logic Model**

Provide a visual representation of the project depicting the logical relationships between the project's goal statements, input/resources, activities, outputs, outcomes and impacts of the project.

- Inputs/Resources: "Inputs are various resources available to support the project (e.g., staff, materials, curricula, funding, equipment)."<sup>2</sup> "Include those aspects of the project which are available and dedicated to, or used by, the project/service to operate."<sup>3</sup>
- Activities: Activities are what the project does with the inputs or the services provided in alignment with project goals. If you have access to inputs/resources, then they can be used to accomplish project activities.
- Outputs: If the activities are accomplished, these are the number of services delivered and/or products provided.
- Outcomes: "Outcomes are the immediate, specific, measurable changes"<sup>4</sup> due to the project. If the outputs are achieved, then this is the change we expect to see. Outcomes may be grouped by:
  - Short-Term- Timeframe (grant cycle, months)
  - Medium-Term- Timeframe (grant cycle, months-years)
- Impacts: The ways in which the community, city, and/or county are changed by the achieved outcomes. This includes fundamental intended or unintended changes that occur in organizations, communities, or systems because of project activities beyond the grant cycle. Impacts are societal/economic/civic/environmental focused and may be the same or similar to long-term outcomes (typically occur beyond the grant cycle).<sup>5</sup>

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<sup>2</sup> Department of Health & Human Services: Family and Youth Services Bureau, 2020.

<sup>3</sup> The Pell Institute and Pathways to College Network, 2020.

<sup>4</sup> Ibid.

<sup>5</sup> Ibid.

**Appendices (if applicable)**

Include relevant supplementary evaluation and project materials in appendices. These may include, but are not limited to, data collection instruments, more detailed descriptions of activities and interventions, training materials, educational materials, operational definitions, additional analyses, and presentations.

## **Proposition 64: Cohort III Local Evaluation Report**

The Proposition 64 Cohort III Grant Program for funding period May 1, 2023 through April 30, 2028 requires a 5-Year Local Evaluation Report (LER) to identify whether the project was successful in achieving its goals and objectives. This LER must be based on the original Local Evaluation Plan (LEP). Any modifications to the LEP must be explained in the LER. This guideline was developed to assist grantees in writing a LER that, at a minimum, addresses the required information defined below.

The LER will document the activities completed with the support of grant funds and the outcomes achieved. BSCC will use this report to verify the grant money was well spent and describe the impacts of the project. Assuming the projects have successful outcomes, other organizations may want to adopt certain project components/intervention(s) demonstrated to be effective. Therefore, the report should include enough information to allow other organizations to replicate your project strategies.

The BSCC will make public the LER from each grantee. LERs may be posted to the BSCC website and/or developed into a statewide summary report to be shared with the Administration, the Legislature, and the public.

All grantees are required to submit a LER to the BSCC no later than 5 p.m. on **Tuesday, October 31, 2028**.

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### **Cover Page**

The cover page provides a descriptive report title, and identifies the grantee, author(s), contact information, project time period, funding source and date submitted.

### **Executive Summary**

The Executive Summary summarizes the key components of the report so that readers have a brief overview of the project's efforts and results. It should provide a synopsis of the project explaining: the project purpose; goals and objectives, including the extent to which they were achieved; key findings; project accomplishments; and conclusions.

### **Project Background**

At a minimum, this section should:

- Provide information essential to understanding the project and the need for the project (scope of the project, history in the community, an explanation of activities and/or services, description of similar efforts in the region, description of how the activities and/or services address the need, etc.).
- For project components that involve participants:
  - Define the target population (e.g., gender, age, risk factors, prior involvement with the justice system).
  - Define the criteria used to determine participant eligibility of the target population.

- Describe the process for determining which intervention(s) and/or services a participant needs and will receive.
- For project components that do not involve participants, describe the process for determining which activities and/or services will be implemented in the service area.
- Provide a description of the goals and objectives identified in the Project Work Plan of the proposal<sup>6</sup>.

### **Process Evaluation Method and Design**

At a minimum, this section should include:

- The research design for the process evaluation.
- For project components that involve participants, include:
  - How activities within the project and/or services provided to each participant were documented (e.g., maintaining a database, signup sheets).
  - How participants' progress was tracked (e.g., start dates, attendance, dropouts, successful completions, progress milestones).
- How components or activities conducted as part of the project were tracked/documented (e.g., code enforcement, investigations, system/equipment updates).
- How activity progress was tracked (ex: start dates, cases initiated/resolved, inspections, eradications and/or remediations, etc.).
- All identified and thoroughly defined process measures. This includes their input/resource/activity/output, data element(s), data collection sources/methods and frequency. Additional narrative is provided to detail what occurred, if an evaluation matrix is used.
- The project-oversight structure and overall decision-making process for the project.
- How the project components were monitored, determined effective, and adjusted as necessary.
- Procedures ensuring that the project was implemented to fidelity, when applicable.
- How quantitative and qualitative process data were analyzed. Describe the statistical tools used to analyze quantitative data (e.g., descriptive statistics, chi-square) and your method used for analyzing qualitative data (identifying themes, content analysis, etc.).

### **Outcome Evaluation Method and Design**

At a minimum, this section should include:

- The research design for the outcome evaluation (e.g., pre-/post-test, mixed methods, comparison groups).
- A set of evaluation questions. These are the questions that the evaluation answered. These shall include the goals and objectives from the original proposal and may also include more outcome-oriented questions.
- For project components that involve participants:
  - The number of participants who received each type of intervention/service.
  - The criteria for determining participant success in the project.
- The number of activities accomplished.

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<sup>6</sup> See page 71 of the RFP for the Project Work Plan template.

- The criteria for determining activity completion and/or success in the project.
- All identifies and thoroughly defined outcome variables, and has identified their outcomes, definitions, data collection source(s) and frequency. Additional narrative is provided to detail what occurred, if an evaluation matrix is used.
- How quantitative and qualitative outcome data was analyzed. Describe the statistical tools used to analyze quantitative data (e.g., descriptive statistics, chi-square) and methods used for analyzing qualitative data (identifying themes, content analysis, etc.).
- The strategy for determining whether outcomes were due to the project and not some other factor(s) unrelated to the project, including a description of a comparison group, when applicable.

### **Evaluation Results**

This section provides a description of the project outcomes. This section should include:

- The data related to the process evaluation. Describe any changes that were made as a result of the process evaluation findings.
- Total number of participants (unduplicated).
  - Include basic demographic information of your participants (e.g., age, gender, race/ethnicity, risk factors, prior involvement with the justice system).
  - Include participant outcomes.
  - When multiple services are available, include the number of individuals who received each of the services.
- Total number of activities and/or services and their outcomes
- Progress toward goals:
  - Provide a summary of the degree to which these goals and objectives were achieved.
  - Describe factors that affected the progress of project goals. This may include factors which resulted in achieving goals more quickly or impeded your progress. If there were factors that impeded your progress, describe how they were addressed.
- Results of any process analyses and provide a detailed explanation related to the project's performance over the course of the grant.
- Results of any outcome analyses and provide a detailed explanation of findings as it relates to any other additional outcome measures.

### **Discussion of Results**

At a minimum, this section should:

- Discuss the effectiveness of different strategies implemented in the project.
- Make useful recommendations with specific guidance for what to replicate or do differently.

### **A Current Logic Model**

Provide a visual representation of the project depicting the logical relationships between the project's goal statements, input/resources, activities, outputs, outcomes and impacts of the project.

- Inputs/Resources: "Inputs are various resources available to support the project

(e.g., staff, materials, curricula, funding, equipment).”<sup>7</sup> “Include those aspects of the project which are available and dedicated to, or used by, the project/service to operate.”<sup>8</sup>

- **Activities:** Activities are what the project does with the inputs or the services provided in alignment with project goals. If you have access to inputs/resources, then they can be used to accomplish project activities.
- **Outputs:** If the activities are accomplished, these are the number of services delivered and/or products provided.
- **Outcomes:** “Outcomes are the immediate, specific, measurable changes”<sup>9</sup> due to the project. If the outputs are achieved, then this is the change we expect to see. Outcomes may be grouped by:
  - Short-Term- Timeframe (grant cycle, months)
  - Medium-Term- Timeframe (grant cycle, months-years)
- **Impacts:** The ways in which the community, city, and/or county are changed by the achieved outcomes. This includes fundamental intended or unintended changes that occur in organizations, communities, or systems because of project activities beyond the grant cycle. Impacts are societal/economic/civic/environmental focused and may be the same or similar to long-term outcomes (typically occur beyond the grant cycle).<sup>10</sup>

### **Grantee Highlight**

This section should provide a brief, one-page, visually appealing, highlight or success story that provides additional information related to the project’s success over the grant cycle. This highlight may be included in a statewide report. You may include optional graphs, charts, or photos<sup>11</sup>. While every effort will be made to include these in a statewide report, inclusion in the report is not guaranteed.

### **Appendices**

Include relevant supplementary evaluation and project materials in appendices. These may include, but are not limited to, data collection instruments, more detailed descriptions of activities and interventions, training materials, educational materials, operational definitions, additional analyses, and presentations.

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<sup>7</sup> Department of Health & Human Services: Family and Youth Services Bureau, 2020.

<sup>8</sup> The Pell Institute and Pathways to College Network, 2020.

<sup>9</sup> Ibid.

<sup>10</sup> Ibid.

<sup>11</sup> The BSCC will only accept photographs in which all persons depicted are over 18 years of age and have consented to both being photographed and to the use and release of their image. By submitting photographs to the BSCC, the submitter acknowledges that all approvals have been obtained from the subjects in the photograph(s) and that all persons are over 18 years of age. Further, by submitting the photographs, the submitter irrevocably authorizes the BSCC to edit, alter, copy, exhibit, publish or distribute the photographs for purposes of publicizing BSCC grant programs or for any other lawful purpose. All photographs submitted will be considered public records and subject to disclosure pursuant to the California Public Records Act.